AMENDMENTS TO THE CLAIMS

[Claim 1] (Currently Amended)

1. A process for preparing tetrahydropyran-4-one represented by the formula (1):



which comprises reacting at least one kind of dihydropyran-4-one and pyran-4-one represented by the formula (2):



wherein --- represents a single bond or a double bond,

and hydrogen

- (a) in the presence of a metal catalyst, in a mixed solvent of an aprotic solvent and an alcohol solvent, or
- (b) in the presence of an anhydrous metal catalyst in which a hydrated metal catalyst is subjected to dehydration treatment, in a hydrophobic organic solvent.

[Claim 2] (Currently Amended)

2. The process for preparing tetrahydropyran-4-one according to Claim 1, wherein the dehydration treatment is carried out by using an organic solvent which can be subjected to azeotropic distillation with water.

[Claim 3] (Currenlty Amended)

3. The process for preparing tetrahydropyran-4-one according to Claim 1, wherein the metal catalyst contains at least one metal atom selected from the group consisting of palladium, platinum and nickel.

[Claim 4] (Currently Amended)

4. The process for preparing tetrahydropyran-4-one according to Claim 1, wherein the aprotic solvent is an aliphatic hydrocarbon, a halogenated aliphatic hydrocarbon, an aromatic hydrocarbon, a halogenated aromatic hydrocarbon, a carboxylic acid ester, an ether, or a mixture thereof.

[Claim 5] (Currently Amended)

5. The process for preparing tetrahydropyran-4-one according to Claim 1, wherein an alcohol solvent in the mixed solvent is contained in the range of 5 to 95% by volume.

[Claim 6] (Currently Amended)

6. The process for preparing tetrahydropyran-4-one according to Claim 1, wherein the hydrophobic organic solvent is an aliphatic hydrocarbon or an aromatic hydrocarbon.

(Currently Amended)

7. The process for preparing tetrahydropyran-4-one according to Claim 1, wherein the compound represented by the formula (2) is pyran-4-one represented by the formula (2'):

[Claim 8] (Currently Amended)

8. The process for preparing tetrahydropyran-4-one according to Claim 7, wherein the pyran-4-one represented by the formula (2') is a compound obtained by reacting 5,5-dialkoxy-3-oxopentanal represented by the formula (3):

wherein R¹ represents an alkyl group, and two R¹s may be bonded to each other to form a ring,

or an equivalent thereof, or a salt thereof with an acid.

[Claim 9] (Currently Amended)

9. The process for preparing tetrahydropyran-4-one according to Claim 8, wherein a salt of the 5,5-dialkoxy-3-oxopentanal represented by the formula (3) or a salt of an equivalent thereof is a compound obtained by reacting 1,1-dialkoxybutan-3-one represented by the formula (4):

$$R^1O OR^1$$
 (4)

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wherein R¹ has the same meaning as defined above, and a formic acid ester represented by the formula (5):

$$HCO_2R^2$$
 (5)

wherein R² represents an alkyl group, in an organic solvent in the presence of a base,

[Claim 10] (Currently Amended)

10. The process for preparing tetrahydropyran-4-one according to Claim 7, wherein the pyran-4-one represented by the formula (2') is a compound obtained by subjecting 1,1,5,5-tetraalkoxypentan-3-one represented by the formula (6):

wherein R^1 has the same meaning as defined above, or an equivalent thereof to cyclization in the presence of an acid.

[Claim 11] (Currently Amended)

11. The process for preparing tetrahydropyran-4-one according to Claim 7, wherein the pyran-4-one represented by the formula (2') is a compound obtained by reacting 1,1-dialkoxybutan-3-one represented by the formula (7):

$$\begin{array}{c}
O \\
R^1O \\
OR^1
\end{array}$$
(7)

wherein R¹ has the same meaning as defined above, or an equivalent thereof and a formic acid ester represented by the formula (5):

 HCO_2R^2 (5)

wherein R² has the same meaning as defined above, in an organic solvent in the presence of a base, to form a salt of 5,5-dialkoxy-3-oxopentanal

wherein R^1 has the same meaning as defined above, or a salt of an equivalent thereof, then, reacting an acid thereto.

[Claim 12] (Currently Amended)

represented by the formula (3):

12. The process for preparing tetrahydropyran-4-one according to Claim 11, wherein the organic solvent is an aromatic hydrocarbon or a nitrile.

[Claim 13] (Currently Amended)

13. A process for preparing tetrahydropyran-4-one which comprises two steps of (A) cyclization step in which 1,1-dialkoxybutan-3-one represented by the formula (7):

$$\begin{array}{c}
O \\
R^1O \\
OR^1
\end{array}$$
(7)

wherein R¹ represents an alkyl group, and two R¹s may be bonded to form a ring, and a formic acid ester represented by the formula (5):

$$HCO_2R^2$$
 (5)

wherein R² represents an alkyl group,

are reacted in an organic solvent in the presence of a base, to prepare a salt of 5,5-dialkoxy-3-oxopentanal represented by the formula (3):

wherein R^1 has the same meaning as defined above,

or a salt of an equivalent thereof, and reacting the salt with an acid to prepare crude product containing pyran-4-one represented by the formula (2'):

as a main component, then,

- (B) reduction step in which the crude product containing the pyran-4-one as a main component and hydrogen are reacted in the presence of a metal catalyst,
- (a) in a mixed solvent of an aprotic solvent and an alcohol solvent, or

(b) in the presence of an anhydrous metal catalyst in which a hydrated metal catalyst is subjected to dehydration treatment, in a hydrophobic solvent, to prepare tetrahydropyran-4-one represented by the formula (1):

[Claim 14] (Currently Amended)

14. The process for preparing tetrahydropyran-4-one according to Claim 13, wherein the metal catalyst contains at least one metal atom selected from the group consisting of palladium, platinum and nickel.

[Claim-15] (Currently Amended)

15. The process for preparing tetrahydropyran-4-one according to Claim 13, wherein the aprotic solvent is an aliphatic hydrocarbon, a halogenated aliphatic hydrocarbon, an aromatic hydrocarbon, a halogenated aromatic hydrocarbon, a carboxylic acid ester, an ether, or a mixture thereof.

[Claim 16] (Currently Amended)

16. The process for preparing tetrahydropyran-4-one according to Claim 13, wherein an alcohol solvent in the mixed solvent is contained in the range of 5 to 95% by volume.

[Claim 17] (Currently Amended)

17. A process for preparing pyran-4-one represented by the formula (2'):

which comprises reacting 5,5-dialkoxy-3-oxopentanal represented by the formula (3):

wherein R¹ represents an alkyl group, and two R¹s may be bonded to form a ring, or an equivalent thereof, or a salt thereof with an acid.

[Claim 18] (Currently Amended)

18. A process for preparing a salt of 5,5-dialkoxy-3-oxopentanal represented by the formula (3):

$$OHC \longrightarrow OR^{1}$$
 (3)

wherein R¹ has the same meaning as defined above,
or an equivalent thereof, which comprises reacting 1,1-dialkoxybutan-3-one represented by the
formula (4):

$$\begin{array}{c}
O \\
R^1O \\
OR^1
\end{array}$$
(4)

wherein R¹ represents an alkyl group, and two R¹s may be bonded to form a ring, and a formic acid ester represented by the formula (5):

$$HCO_2R^2$$
 (5)

wherein R² represents an alkyl group,

in an organic solvent in the presence of a base.

[Claim 19] (Currently Amended)

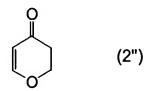
19. A process for preparing pyran-4-one represented by the formula (2'):

which comprises subjecting 1,1,5,5-tetraalkoxypentan-3-one represented by the formula (6):

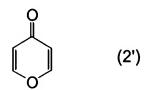
wherein R^1 represents an alkyl group, and two R^1 s may be bonded to form a ring, or an equivalent thereof to cyclization in the presence of an acid.

[Claim 20] (Currently Amended)

20. A process for preparing dihydropyran-4-one represented by the formula (2"):



which comprises reacting pyran-4-one represented by the formula (2'):



and hydrogen in the presence of a metal catalyst, in a mixed solvent of an aprotic solvent and an alcohol solvent.

[Claim 21] (Currently Amended)

21. A sodium salt of 5,5-dialkoxy-3-oxopentanal represented by the formula (3):

wherein R¹ represents an alkyl group, and two R¹s may be bonded to each other to form a ring,

or a sodium salt of an equivalent thereof.

[Claim 22] (Currently Amended)

22. A process for preparing pyran-4-one which comprises reacting 1,1-dialkoxybutan-3-one represented by the formula (7):

$$R^{1}O$$
 OR^{1} (7)

wherein R¹ represents an alkyl group, and two R¹s may be bonded to each other to form a ring,

or an equivalent thereof and a formic acid ester represented by the formula (5):

$$HCO_2R^2$$
 (5)

wherein R² represents an alkyl group,

in an organic solvent in the presence of a base, to form a salt of 5,5-dialkoxy-3-oxopentanal represented by the formula (3):

wherein R^1 has the same meaning as defined above,

or a salt of an equivalent thereof, and then, reacting an acid to the salt to prepare pyran-4-one represented by the formula (2'):

[Claim 23] (Currently Amended)

23. Use of an anhydrous metal catalyst for reducing pyran-4-one and dihydropyran-4-one.

[Claim 24] (Currently Amended)

24. The process for preparing tetrahydropyran-4-one according to any one of Claims 1 to 16 claim 1, wherein the anhydrous metal catalyst is a material obtained by subjecting a hydrated metal catalyst to dehydration treatment using an organic solvent which can be subjected to azeotropic distillation with water.